

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

-----X		
In re	:	Chapter 11 Case No.
	:	
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	:	08-13555 (JMP)
	:	(Jointly Administered)
Debtors.	:	
	:	
-----X		
		Ref. Docket No. 38393

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On July 11, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
18th day of July, 2013
/s/ Panagiota Manatakis
Notary Public, State of New York
No. 01MA6221096
Qualified in Queens County
Commission Expires April 26, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: HSBC PRIVATE BANK SUISSE SA
ATTN: JACQUES DUROUVENOT, SECURITIES DEPARTMENT MANAGER
QUAI GENERAL-GUISAN 2
GENEVA 3 1211 SWITZERLAND

Additional:

Transferee: UBS AG
SECURITIES OQ9C / O5GC
BAHNHOFSTRASSE 45
ZURICH CH-8001 SWITZERLAND

Your transfer of claim # 51762-01 is defective for the reason(s) checked below:

Other Nominla Amount to Transfer is not Clear / Verify Currency

Docket Number 38393

Date 07/03/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on July 11, 2013.

EXHIBIT B

LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

PAGE: 1

TIME: 15:58:27
DATE: 07/11/13

Name	Address
HSBC PRIVATE BANK SUISSE SA UBS AG	ATTN: JACQUES DUROUVENOT, SECURITIES DEPARTMENT MANAGER QUAI GENERAL-GUISAN 2 GENEVA 3 1211 SWITZERLAND SECURITIES OQ9C / O5GC BAHNHOFSTRASSE 45 ZURICH CH-8001 SWITZERLAND

Total Number of Records Printed 2